

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street)

745 Seventh Avenue 13th Floor

☐Check if different  
than previously  
reported. (ACC)

New York

NY

10019

6801

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00127670

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☒

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

02

01

2007

through

02

28

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Joseph Beukers

Signature of Treasurer

Electronically Filed by Joseph Beukers

Date

03

19

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	2	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	2	2	8	2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2007</span>		307698.18
(b) Cash on Hand at Beginning of Reporting Period .....	317533.14	
(c) Total Receipts (from Line 19) .....	13176.80	26152.38
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	330709.94	333850.56
7. Total Disbursements (from Line 31) .....	7000.00	10140.62
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	323709.94	323709.94
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	2	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	2	2	8	2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	2288.33	3088.33
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	10666.50	22606.33
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡	12954.83	25694.66
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡	12954.83	25694.66
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	221.97	457.72
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	13176.80	26152.38
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	13176.80	26152.38

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	140.62
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	140.62
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		7000.00	10000.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		7000.00	10140.62
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		7000.00	10140.62

**DETAILED SUMMARY PAGE**

of Disbursements

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	12954.83	25694.66
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	12954.83	25694.66
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	140.62
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	140.62

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 6 / 14

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Robert C Cox Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7 <b>Transaction ID:</b> A2007-99388 Amount of Each Receipt this Period 100.00
<b>B.</b> Full Name (Last, First, Middle Initial) Robert C Cox Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation SENIOR VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 400.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID:</b> A2007-169883 Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Eric Del Monaco Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 240.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID:</b> A2007-170001 Amount of Each Receipt this Period 60.00

**SUBTOTAL** of Receipts This Page (optional) .....

260.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7 <b>Transaction ID: A2007-99458</b> Amount of Each Receipt this Period 100.00
<b>B.</b> Full Name (Last, First, Middle Initial) Nadja Fidelia-daguillard Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 400.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID: A2007-169954</b> Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Todd C Guenther Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 240.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID: A2007-169972</b> Amount of Each Receipt this Period 60.00

**SUBTOTAL** of Receipts This Page (optional) .....

260.00

**TOTAL** This Period (last page this line number only) .....

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Mike A Hartmeier Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 350.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7 <b>Transaction ID: A2007-99363</b> Amount of Each Receipt this Period 125.00
<b>B.</b> Full Name (Last, First, Middle Initial) Mike A Hartmeier Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 475.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID: A2007-169862</b> Amount of Each Receipt this Period 125.00
<b>C.</b> Full Name (Last, First, Middle Initial) Perry C Hoffmeist Mailing Address 745 SEVENTH AVENUE City NEW YORK State NY Zip Code 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 216.66		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7 <b>Transaction ID: A2007-99361</b> Amount of Each Receipt this Period 108.33

**SUBTOTAL** of Receipts This Page (optional) .....

**358.33**

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Scott C Kursman Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 6 / 2 0 0 7 <b>Transaction ID:</b> A2007-148404 Amount of Each Receipt this Period 500.00
<b>B.</b> Full Name (Last, First, Middle Initial) James Lister Mailing Address 745 SEVENTH AVENUE City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation VICE PRESIDENT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 240.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID:</b> A2007-169847 Amount of Each Receipt this Period 60.00
<b>C.</b> Full Name (Last, First, Middle Initial) Dan McCarthy Mailing Address 200 VESEY AMX TWR-WFC FL. 8 City State Zip Code NEW YORK NY 10285 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID:</b> A2007-169877 Amount of Each Receipt this Period 150.00

**SUBTOTAL** of Receipts This Page (optional) .....

710.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 14

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) Kelly W Monahan Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7 <b>Transaction ID: A2007-99509</b> Amount of Each Receipt this Period 175.00
<b>B.</b> Full Name (Last, First, Middle Initial) Kelly W Monahan Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 700.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7 <b>Transaction ID: A2007-170000</b> Amount of Each Receipt this Period 175.00
<b>C.</b> Full Name (Last, First, Middle Initial) David J O'Brien Mailing Address 745 SEVENTH AVE 13TH FLOOR City State Zip Code NEW YORK NY 10019 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer LEHMAN BROTHERS HOLDINGS INC. Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7 <b>Transaction ID: A2007-99526</b> Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

450.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

<b>A.</b> Full Name (Last, First, Middle Initial) David J O'Brien		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7
Mailing Address 745 SEVENTH AVE 13TH FLOOR		<b>Transaction ID:</b> A2007-170016
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 100.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Frank P Turner		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 2 / 2 0 0 7
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2007-99374
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 75.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 225.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Frank P Turner		Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 2 8 / 2 0 0 7
Mailing Address 745 SEVENTH AVENUE		<b>Transaction ID:</b> A2007-169870
City NEW YORK	State NY	Zip Code 10019
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 75.00
Name of Employer LEHMAN BROTHERS HOLDINGS INC.	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	

**SUBTOTAL** of Receipts This Page (optional) .....

250.00

**TOTAL** This Period (last page this line number only) .....

2288.33

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 14

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

**A.** Full Name (Last, First, Middle Initial)  
Wachovia Securities

Mailing Address 401 South Tryon Street

City State Zip Code  
 Charlotte NC 28288

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

457.72

Date of Receipt

M M / D D / Y Y Y Y  
 0 2 / 2 8 / 2 0 0 7

Transaction ID: A5947

Amount of Each Receipt this Period

221.97

**SUBTOTAL** of Receipts This Page (optional) .....

221.97

**TOTAL** This Period (last page this line number only) .....

221.97

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

**A. Securities Industry and Financial Markets Assn.**

Mailing Address 1425 K Street NW 7th Fl

City  
Washington

State  
DC

Zip Code  
20005

Purpose of Disbursement  
O-2007 Federal PAC US

Candidate Name

011  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2007  
☐ Primary ☐ General  
☒ Other (specify) ▼

State: US District:

Not Applicable

Transaction ID: B162690

Date of Disbursement

02 / 06 / 2007

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

**B. Friends of Max Baucus**

Mailing Address P.O. Box 586

City  
Helena

State  
MT

Zip Code  
59624

Purpose of Disbursement  
P-2008 U.S. Senate MT

Candidate Name  
Max Baucus

011  
Category/  
Type

Office Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MT District:

Transaction ID: B163757

Date of Disbursement

02 / 27 / 2007

Amount of Each Disbursement this Period

2000.00

**SUBTOTAL** of Disbursements This Page (optional) .....

7000.00

**TOTAL** This Period (last page this line number only) .....

7000.00

Form/Schedule: **SA11A1**

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.